

Ultimate Beneficial Owner Registration Form

Under European and Dutch legislation and regulations, including the Dutch Money Laundering and Terrorist Financing (Prevention) Act (Wwft), ICS is obliged to establish the identity of the ‘ultimate beneficial owner’ of the company. As a company you must identify the ultimate beneficial owner or owners. If this does not apply to your company, you should also state that using this form.

Note: please complete **ALL** fields. If the information is incomplete, the application cannot be processed.
If you are completing the form by hand, please use block letters.

Your Company Details

Company name _____

ICS customer numbers * _____

Chamber of Commerce number _____

* For existing customers only

The ultimate beneficial owners are the natural persons involved in your business in the following ways:

- In the case of a general or limited partnership, the ultimate beneficial owner is the natural person who, as a partner or managing partner, directly or indirectly holds some of the voting rights, rights to share in the profits or de facto control.
- In the case of a private or public company, whether or not in formation, or a cooperative, the beneficial owner is the natural person who directly or indirectly holds shares, voting rights or de facto control.
- In the case of a foundation, association or trust, the beneficial owner is the natural person who, directly or indirectly, is the beneficiary or has special control over the assets.

Step 1 Company situation

Indicate which situation applies to your company.

- | | |
|---|--|
| My company is a regulated financial institution under a regulator (e.g. AFM, DNB, etc.). | <input type="radio"/> Yes, proceed to Step 3 |
| My company or the 100% parent company is listed in the EU, US, Canada, Japan or China. | <input type="radio"/> Yes, proceed to Step 3 |
| My company is a government institution. | <input type="radio"/> Yes, proceed to Step 3 |
| My company is a sole proprietorship. | <input type="radio"/> Yes, proceed to Step 2 |
| My company is a church. | <input type="radio"/> Yes, proceed to Step 2 |
| My company is a general or limited partnership. | <input type="radio"/> Yes, proceed to Step 2 |
| My company is a private or public company (whether or not in formation) or a cooperative. | <input type="radio"/> Yes, proceed to Step 2 |
| My company is a foundation, association or trust. | <input type="radio"/> Yes, proceed to Step 2 |

Step 2 Identity of the ultimate beneficial owner or owners

If your company has one or more beneficial owners, then you must list all natural persons who are ultimate beneficiaries owners of your company. Enter the details of the ultimate beneficial owners as set out below.

Statement of ultimate beneficial owner 1

First names (as given in passport)

M F

Initials

Birth name

Surname prefixes

Current surname

Surname prefixes

Date of birth

Resident in country (private)

Nationality

Birthplace

Country of birth

Business e-mail address

Mobile telephone number

Does the UBO have:

Both ownership and control of the company (partially or wholly)

Only ownership of the company (partially or wholly)

Only control of the company (partially or wholly)

Percentage of ownership of the company of the relevant UBO: %

Is the UBO a US person?¹

Yes No

Enter the country in which the UBO is liable for taxation and also enter the tax number (BSN/TIN) of the UBO.²

Liable for taxation in:

Country

Tax number³

Country

Tax number³

Country

Tax number³

This ultimate beneficial owner is involved through other business or entities:

Name of the other company or entity

Address of other company or entity

If involved through multiple companies or entities, please enclose an overview of the group structure with this form.

The overview of the group structure must meet the following requirements for each intermediate company:

- State the full legal name, legal form, country where the company is registered and the Chamber of Commerce number of the company or companies,
- All shareholders and share percentages,
- Signed and dated,
- Signed by an authorised signatory.

¹ A UBO is a U.S. person if he or she is a resident of the U.S., a U.S. Citizen or has a U.S. green card.

² The Tax Identification Number is the number under which your company is registered with the tax authorities. This number is also referred to as the Tax Identification Number (TIN) or VAT number. For more information about where to find this tax number, search for 'Tax Identification Number' on the website www.oecd.org.

³ The tax number is the number under which you are registered in the administration of the tax authorities. This number is also called Tax Identification Number (TIN) or VAT number. If you search for Tax Identification Number on the website www.oecd.org, you will find more information on where to find this tax number.

Identity of the ultimate beneficial owner 2

First names (as given in passport)

M F

Initials

Birth name

Surname prefixes

Current surname

Surname prefixes

Date of birth

Resident in country (private)

Nationality

Birthplace

Country of birth

Business e-mail address

Mobile telephone number

Does the UBO have:

- Both ownership and control of the company (partially or wholly)
 Only ownership of the company (partially or wholly)
 Only control of the company (partially or wholly)

Percentage of ownership of the company of the relevant UBO: %

Is the UBO a US person?¹

- Yes No

Enter the country in which the UBO is liable for taxation and also enter the tax number (BSN/TIN) of the UBO.²

Liable for taxation in:

Country

Tax number³

Country

Tax number³

Country

Tax number³

- This ultimate beneficial owner is involved through other business or entities:

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Address of other company or entity

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Identity of the ultimate beneficial owner 3

M F

First names (as given in passport)

Initials

Birth name

Surname prefixes

Current surname

Surname prefixes

Date of birth

Resident in country (private)

Nationality

Birthplace

Country of birth

Business e-mail address

Mobile telephone number

Does the UBO have:

- Both ownership and control of the company (partially or wholly)
- Only ownership of the company (partially or wholly)
- Only control of the company (partially or wholly)

Percentage of ownership of the company of the relevant UBO: %

Is the UBO a US person? ¹

- Yes
- No

Enter the country in which the UBO is liable for taxation and also enter the tax number (BSN/TIN) of the UBO. ²

Liable for taxation in:

Country

Tax number³

Country

Tax number³

Country

Tax number³

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Identity of the ultimate beneficial owner 4

M F

First names (as given in passport)

Initials

Birth name

Surname prefixes

Current surname

Surname prefixes

Date of birth

Resident in country (private)

Nationality

Birthplace

Country of birth

Business e-mail address

Mobile telephone number

Does the UBO have:

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 Only ownership of the company (partially or wholly)
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Percentage of ownership of the company of the relevant UBO: %

Is the UBO a US person?¹

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Country

Tax number³

Country

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NB: If there is more than one UBO, please copy this page and fill in the details for each UBO.

Step 3 Statement and signatures

The signatory declares they have completed this form truthfully.

This form must be duly signed, on behalf of the company, by an **authorised signatory** as listed in the trade register of the Chamber of Commerce.

Details of authorised signatory

First name (as stated in passport) M F

Initials _____

Last name _____ Surname prefixes _____

Town/city _____ Date _____

Your Signature _____

If jointly authorised

First name (as stated in passport) M F

Initials _____

Last name _____ Surname prefixes _____

Town/city _____ Date _____

Your Signature _____